

linear

nonlinear

~~Linear list tree graph~~

logical structure

~~ordered list~~

~~linked list~~

~~index~~

~~hash~~

memory structure

- search ; insert ; delete ; merge ; split ; traverse
- string search
- sort : exchange : bubble, quick  
insertion : ... , shell  
selection :  
merge :  
heap : ... , tree selection, tournament.

## 1. Introduction

### 1.1 data abstraction & binary relation

#### a. data abstraction

element + relation  $\rightarrow$  directed, weakly connected graph

#### b. Cartesian product

$$A \times B = \{(a, b) \mid a \in A \ \& \ b \in B\}$$

$$A_1 \times \dots \times A_n = \{(a_1, \dots, a_n) \mid a_i \in A_i\}$$

#### c. binary relation

$R$  is a subset of  $A \times B$ , called an endorelation over  $A$  when  $A = B$ .

{ reflexivity :  $(a, a) \in R$ , symmetry :  $(a, b) \in R \Rightarrow (b, a) \in R$

{ transitivity :  $(a, b) \in R \ \& \ (b, c) \in R \Rightarrow (a, c) \in R$ .

## 1.2 basics of data structure

a. logical structure of data

$B = (D, R)$   $D$ : data,  $R$ : endorelation

b. memory structure of data

see page 1

c. abstract data type

data structure + operations

## 1.3 algorithms

a. brute force:

b. divide and conquer: merge sort, quick sort, shell sort.

c. decrease and conquer: binary search, b-tree search.

d. backtracking: maze

e. greedy: knp, Prim, Kruskal, Dijkstra, Huffman

f. dynamic programming: Floyd-Warshall

## 2. linear list

$B = (D, R)$   $D = \{a_i | i=1, \dots, n\}$ ,  $R = \{(a_i, a_{i+1}) | i=1, \dots, n-1\}$

2.1 ordered list

2.2 stack

double stack:

e.g. recursion + divide & conquer

Divide (P) { // solve problem P of size n

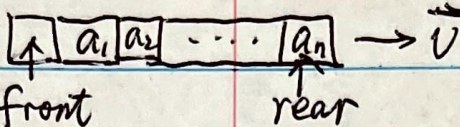
if (P solvable) solve P directly

else for (i=1:k)  $y_i = \text{Divide}(P_i)$  // solve subproblem

return Merge( $y_1, \dots, y_k$ ) // merge solutions to subproblems

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$
$$\begin{cases} c < \log_b a \\ c \sim \log_b a \\ c > \log_b a \end{cases}$$

## 2.3 Queue

a. sequential 

b. circular delete:  $front = rear = 0$

enqueue:  $(rear + 1) \% ms$

dequeue:  $(front + 1) \% ms$

empty:  $front == rear$

full:  $(rear + 1) \% ms == front$

} waste one unit

c. priority: heap

## 2.4 Array and Matrix

a. row-based array:  $AD(a_{ij}) = AD(a_{11}) + [(i-1)n + j - 1] \cdot unit;$

column-based array:  $AD(a_{ij}) = AD(a_{11}) + [(j-1)n + i - 1] \cdot unit$

b. compressed matrix

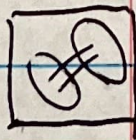
• lower-triangular:



row:  $k = \frac{1}{2} i(i-1) + j$

col:  $k = \frac{(2n-j-1)j}{2} + i - (j-1)$

• symmetric  $\rightarrow$   
lower-triangular



$k = \begin{cases} \frac{1}{2} i(i-1) + j & i \geq j \\ \frac{1}{2} j(j-1) + i & i < j \end{cases}$

• diagonal:



$k = \lfloor \frac{m}{2} \rfloor + 1 \cdot i + j - \lfloor \frac{m}{2} \rfloor + 1$  else

0 if not  $(i \leq j \ \& \ j \leq i + \lfloor \frac{m}{2} \rfloor) \vee (i > j \ \& \ i > j + \lfloor \frac{m}{2} \rfloor)$

c. sparse matrix:  $B(row, col, val)$

$\{ POS[k]: \text{first non-0 element in row } k; POS[i] = POS[i-1] + NUM[i-1]$

$\{ NUM[k]: \text{num of non-0 elements in row } k; NUM[B[i, 1]] = NUM[B[i, 1]] + 1$

## 3. linked list

### 3.1 regular linked list

insertion: empty list; insert to the 1st node; can't find where to insert

deletion: empty list; delete the 1st node; can't find where to delete

merge: choose a base list,  $O(m+n)$

split: odd pos/even pos =  $O(n)$

### 3.2 linked stack, linked queue

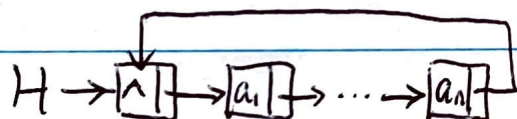
a. linked stack



b. linked queue



### 3.3 circular linked list



empty:  $H \rightarrow \Lambda$  : no need to single out the empty case (v.s 3.1)

check rear:  $p \rightarrow next == head$

merge:  $P_1 \rightarrow next = head_2$ ,  $P_2 \rightarrow next = head_1$

split: create new head, consider odd pos/even pos, choose base

### 3.4 multi-linked list

### 3.5 lists.

linear; recursive definition; depth = # of layers of recursion

length = # of "next"

e.g.

head:	flag = 0	sublist	Λ
atom:	flag = 1	data	next
sublist:	flag = 2	sublist	next

## 4 tree ; binary tree

### 4.1 basics of tree

$n$ : number of nodes, degree of  $N_i = d_i \Rightarrow n = \sum_{i=1}^n d_i + 1$

$k$ : branches of a node (maximum) = least depth =  $\lceil \log_k [n(k-1) + 1] \rceil$

### 4.2 binary tree

#### a. basic properties

- No leaves,  $N_2$  nodes with degree 2  $\Rightarrow N_0 = N_2 + 1$

proof: let  $n$  be # of nodes,  $N_1$  be # of nodes with degree 1

$$n = N_0 + N_1 + N_2 \quad \textcircled{1}$$

every node has a parent except the root:

$$n - 1 = 2N_2 + N_1 \quad \textcircled{2}$$

$$\textcircled{1} \textcircled{2} \Rightarrow N_0 = N_2 + 1$$

- full (all leaves present) vs balanced vs complete (leaves present L $\rightarrow$ R)

$n$  nodes  $\Rightarrow$  depth =  $\lfloor \log_2 n \rfloor + 1$

depth  $h \Rightarrow n \leq 2^h - 1$

- left child of  $i = 2i + 1$ , right child of  $i = 2i + 2$

parent:  $\lfloor (i-1)/2 \rfloor$

$i = 0, 1, \dots$  (for heap; space efficient)

left child of  $i = 2i$ , right child of  $i = 2i + 1$

parent:  $\lfloor i/2 \rfloor$

$i = 1, 2, \dots$  by mathematical induction

### 4.3 memory structure of binary tree

linked list: complete binary trees can be stored using a heap

4.4 { pre-order: visit  $\rightarrow$  L  $\rightarrow$  R } DFS, time:  $O(n)$ , space:  
          in-order: L  $\rightarrow$  visit  $\rightarrow$  R }  $O(\log_2 n) \sim O(n)$

post-order: L  $\rightarrow$  R  $\rightarrow$  visit } can also be implemented in non-recursive way

$\rightarrow$  search; get lists representation

$\rightarrow$  for deletion, getting depth, # of nodes

4.5 BFS binary tree time:  $O(n)$  space:  $k^{h-1}$ ,  $k$ : degree,  $h$ : depth

level order tree traversal: ~~for getting depth, deletion, getting # of nodes~~

while (!EmptyQueue()) { enqueue left child; enqueue right child; dequeue; }

4.6. threaded binary tree for  $O(1)$  space ~~in order~~ traversal:

$l\_tag = l\_kid = data = r\_kid = r\_tag$

{ threaded preorder: thread cur node & preorder predecessor  $\rightarrow l \rightarrow r$

{ threaded inorder:  $l \rightarrow$  thread cur node & inorder predecessor  $\rightarrow r$

{ threaded post order:  $l \rightarrow r \rightarrow$  thread cur node & post order predecessor  
predecessor  $\rightarrow l\_kid \rightarrow r\_kid$ .

4.7 counting in binary trees

number of inorder traversals corresponding to a preorder:  $\frac{1}{n+1} C_n^n$

to specify a binary tree: using preorder to determine root, inorder kids.

4.8 applications of binary trees

a. ordered tree  $\rightarrow$  binary linked list  $\rightarrow$  binary tree

b. optimal binary tree (huffman tree)

depth  $h$ :  $2^{h-1} \leq n \leq 2^h - 1$

$$\begin{cases} n_0 = n_2 + 1 \\ n_0 + n_2 = n \end{cases} \Rightarrow \begin{cases} n_0 = \frac{n+1}{2} \\ n_2 = \frac{n-1}{2} \end{cases}$$

minimize:  $\sum_{i=1}^n \text{weight}(i) \cdot \text{length}(i)$

algorithm: select the two trees from the forest with minimum

weights to form a binary tree; greedy

root weight =  $l\_weight + r\_weight$

application: huffman code (non-prefix code): left 0 right 1

### C. Binary search tree BST

depth  $h$ :  $h < n < 2^h - 1$

- $n_{left} < n_{right}$ : in order insertion, time, space:
- deletion  $\left\{ \begin{array}{l} \text{leaf: delete directly} \\ \text{degree 1 node: delete following child} \\ \text{degree 2 node: replace with in order predecessor} \rightarrow \\ \text{delete in order predecessor} \left\{ \begin{array}{l} \text{leaf} \dots \\ \text{degree 1} \dots \end{array} \right. \end{array} \right. \quad O(\log n) \sim O(n)$

### d. heap (binary sort) findmin/findmax in $O(1)$

depth  $h$ :  $2^{h-1} \leq n \leq 2^h - 1$

$\left\{ \begin{array}{l} \text{max-heap: parent} > \text{kid} \\ \text{min-heap: parent} < \text{kid} \end{array} \right\}$  list, complete binary tree  
index by layer

- insertion: insert to rear (lower right), sift up the element:  $O(\log n)$
- deletion:  $\left\{ \begin{array}{l} \text{directly delete if at rear} \\ \text{swap top \& rear, sift down the top: } O(\log n) \text{ if at top} \end{array} \right.$
- creation:  $\left\{ \begin{array}{l} \text{insert each element (sift up): } O(n \log n) \text{ } \oplus \\ \text{(sorting) sift down each element from lower right to upper left: } O(n) \end{array} \right.$

### e. solution space tree

backtracking: pre order traversal of the solution space tree

## 4.9. Equivalence class and union-find (disjoint-set)

memory structure: multi-branch linked list-based tree

$\left\{ \begin{array}{l} \text{make set: rank} = 0, \text{parent} = x \end{array} \right.$

$\left\{ \begin{array}{l} \text{find: } x.\text{parent} = \text{find}(x.\text{parent}) : \text{recursive} \end{array} \right.$  ←

$\left\{ \begin{array}{l} \text{union: make the higher-ranked parent as parent.} \end{array} \right.$  ←

application: partition a set to get equivalence classes; Kruskal - MST

## 5. graph

### 5.1 representation

$G = (V, E)$   $G' \subseteq G$  if  $G'$  is a subgraph of  $G$

undirected: degree = edges connected

directed: degree = inbound edges + outbound edges.

$$\sum_{v \in V} \deg(v) = 2|E|$$

$$n-1 \leq |E| \leq C_n^2 \quad \text{for undirected graphs}$$

$$n \leq |E| \leq 2C_n^2 \quad \text{for directed graphs}$$

### 5.2 memory

a. adjacency matrix for dense graphs

$$\text{inbound degree} = \sum_{i=0}^{n-1} \text{col}_i = \sum_{i=0}^{n-1} A[i][j]$$

$$\text{outbound degree} = \sum_{j=0}^{n-1} \text{row}_j = \sum_{j=0}^{n-1} A[i][j]$$

b. linked list for sparse graphs

triplet representation = (row, column, value)

linked representation = (row, column, value, next node)

### 5.3 traversal

a. DFS (DFT): adjacency matrix:  $O(n^2)$ , linked list:  $O(n+e)$ , space:  $O(n)$   
 $\approx$  pre order traversal of a tree

b. BFS (BFT): adjacency matrix:  $O(n^2)$ , linked list:  $O(n+e)$ , space:  $O(n)$

$\approx$  level order traversal of a tree (single source, single sink, shortest path in an unweighted graph)

### 5.4 MST minimum spanning tree (min $\sum_{i=0}^{n-1} e_i$ , $e_i \in \text{MST}$ )

$V(G') = V(G)$ ,  $n$  vertices,  $n-1$  edges.

a. Prim:  $O(n^2)$ : greedy, use heap

b. Kruskal:  $O(e \log e)$ : greedy, use union-find structure

## 5.5 single source shortest path (all sinks)

a. w/o negative weights: Dijkstra, based on priority queue  
 $O((|V|+|E|) \log |V|)$  faster than iterative DFS's

b. w/ negative weights: Bellman-Ford, slower than Dijkstra  
 $O(|V| \cdot |E|)$

c. SPFA: shortest path faster algorithm, w/ negative weights  
 $O(k \cdot |E|)$ ,  $k \ll |V|$

## 5.6 acyclic shortest path, DAG $O(|V| + |E|)$

5.7. topological sorting, DAG  
 $O(|V| + |E|)$ , using DFS.

5.8 all-source shortest path (all sinks), w/ negative weights.  
 $O(|V|^3)$ , Floyd-Warshall, dynamic programming

## 6. Search, string search

6.1 binary search tree: see 4.8, C, worst case  $O(n)$  for search, insert, delete ~~and space~~.

## 6.2 self-balancing binary search tree

a. AVL tree } worst case  $O(\log n)$  for  
b. red-black tree } search, insert, delete.

## 6.3. high fan-out self-balancing tree

B-tree,  $O(\log n)$  for large blocks of data search, insert...

## 6.4. string searching algorithm. String: $n$ > Pattern: $m$

a. straightforward:  $O(m \cdot n)$   $\rightarrow$  Compute matching prefix & suffix  
b. Knuth-Morris-Pratt: preprocessing:  $O(m)$ , matching:  $O(n)$ , space:  $O(m)$

## 7. Sort

7.1 exchange sort : swap.

a. bubble sort → bidirectional bubble sort  $O(n^2)$

b. quick sort : choose the median of  $R[m]$ ,  $R[\frac{m+n}{2}]$ ,  $R[n]$ ,  
divide and conquer, recursion  $O(\log n) \rightarrow O(n^2)$

7.2 insertion sort : insert to sorted list

a. naive  $O(n^2)$

b. binary-search the sorted list  $O(n \log n) \rightarrow O(n^2)$  cuz swaps

c. shell  $O(n^{1.5})$

7.3 selection sort : select from unsorted list

a. naive  $O(n^2)$

b. heap sort : time  $O(n) - O(n \log n)$  , space :  $O(1)$

see 4.8 d , divide and conquer.

7.4. merge sort : divide and conquer,  $O(n \log n)$

{ top-down  $O(n)$  space. external sort  
bottom-up easy to parallelize, can be used on disk " "

7.5. distribution sort (non-comparison sort) integers

a. bucket sort time :  $O(n+k) - O(n^2)$ , space :  $O(n+k)$

b. counting sort time :  $O(n+k)$ , space :  $O(n+k)$

c. radix sort. time :  $O(wn)$ , space :  $O(w+N)$